

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Christian Herzig et al.

Serial No.: To be Assigned
(Division of U.S.S.N. 10/298,715;
filed November 18, 2002)

Filed: Filed Herewith

For: ALKENYL-FUNCTIONAL SILOXANE COPOLYMERS AS ANTIMISTING
ADDITIVES FOR SILICONE COATING COMPOSITIONS

Attorney Docket No.: WAS 0551 PUS1

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)(1)**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A & B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98(d), copies of the cited references are not being provided since the references were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. 10/298,715, filed November 18, 2002 (pending), of which the present application is a divisional.

Respectfully submitted,

Christian Herzig et al.

Date: 3/11/2004

By: 

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Substitute for Form 1449A/PTO

(use as many sheets as necessary)

Complete if Known

Application Number	
Filing Date	Filed Herwith
First Named Inventor	Christian Herzig et al.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	WAS 0551 PUS1

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	
				Filing Date	Filed Herewith
				First Named Inventor	Christian Herzig et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	WAS 0551 PUS1
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
		English Derwent Abstract AN 1997-053209 [06] Corresponding To DE 195 22 144			
		English Derwent Abstract AN 1998-087924 [09] Corresponding To DE 196 29 053			

Examiner Signature		Date Considered	
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